

APPENDIX B

2015/16 Followed Up Audits since the last meeting -Critical Risk Exceptions	Critical Risk Open		Critical Risk Closed		Total Critical Risk	Comments
	Audit Title					
F1516-097 - Accounts Payable & Navision - MMD Mark Willcox	1	100%	0	0%	1	MMD are still unable to produce a report from Navision which shows what permissions different users have. It can however produce an Audit Log of changes made to records for a defined period of time. An initial review of 6 months data found no innapropriate access in key areas. MMD will now conduct the same checks on a quarterly basis

2015/16 Followed Up Audits since the last meeting - High Risk Exceptions	High Risk Open		High Risk Closed		Total High Risk	Comments
	Audit Title					
F1516 - Application Archiving & Modern Records (Combined Follow Up) - Finance & Information Service - Chris Ward	3	75%	1	25%	4	A part time Archivist and Records Manager has been recruited to lead a project in this area which is due to be completed in the Summer of 2017
F1516-074 - Adventure Playgrounds - Property & Housing - Owen Buckwell	0	0%	3	100%	3	
F1516-097 - Accounts Payable & Navision - MMD Mark Willcox	2	100%	0	0%	2	Follow up testing found that purchase orders are still being raised after expenditure has been incurred. Steps have been taken to rectify this and are in progress. Work is continuing on securing value for money on purchases at MMD. A review of large expenditure and a contracts register are currently being produced
Total	5	56%	4	44%	9	